

PATIENT INFORMATION REQUIRED

First Name **Middle Name** **Last Name**
Street Address **City** **State** **ZIP Code**
Email **Phone Number** **Date of Birth** / / **Date Sample Collected** / /
Self-Declared Race Has your biological father, brother or son ever been diagnosed with prostate cancer? Yes No Unsure

BILLING INFORMATION REQUIRED **Bill To** Provider/Hospital Insurance Self-Pay (Stratify Genomics will contact patient directly to collect payment)

Primary Insurance Information As a courtesy, we will bill your insurance. Please attach a copy (front and back) of insurance card(s) and complete all information below.

Insurance Carrier Policy Number Phone Fax

 Group Name Group Number Policy Holder Name
 / /
 Address Policy Holder ID# (SSN) Policy Holder DOB
 / /
 City State ZIP Code Relation To Patient

Secondary Insurance Information Attach a copy (front and back) of the secondary insurance card. Provide the insurance name, policy number and group name, billing address and phone, policyholder name, ID#, date of birth, relation to patient, and phone number.

Preauthorization/Reference #:

ICD Code(s)

PATIENT CONSENT REQUIRED

I have read and agree to the Prompt Prostate Genetic Score Test Consent as shown on back page

Printed name of Patient /or Legally Authorized Representative (if applicable) _____ Signature of Patient /or Legally Authorized Representative (if applicable) _____ Date _____
**If Legally Authorized Representative list relationship to patient.*

I have read and agree to the Consent for Research and I consent to the use of my data for third party research and to the inclusion of my data in Stratify Genomics' research database

Printed name of Patient /or Legally Authorized Representative (if applicable) _____ Signature of Patient /or Legally Authorized Representative (if applicable) _____ Date _____
**If Legally Authorized Representative list relationship to patient.*

ORDERING HEALTHCARE PROVIDER INFORMATION

Healthcare Provider **Clinic Name** **Provider Email**
Clinic Address **Provider Phone Number**
 Provider Fax Number

By submitting this test requisition form, I hereby authorize testing and confirm that I have fully informed the patient about the purpose, capabilities, and limitations of the test and that informed consent has been obtained, if required by state law.

I confirm that the test results will be used in the medical management and treatment decisions for the patient. I verify that the ordered test is reasonable and medically necessary for the care of this patient. I certify that I will discuss with the patient their test results and how their results help inform treatment recommendations. I hereby attest that the person identified in the Ordering Healthcare Provider space above is authorized by law in the relevant jurisdiction to order the test requested herein.

_____ Ordering Healthcare Provider Signature Date _____

IF YOU WOULD LIKE A COPY OF THE REPORT SENT TO AN ADDITIONAL HEALTH CARE PROVIDER, PLEASE COMPLETE THE INFORMATION BELOW:

Healthcare Provider **Clinic Name** **Provider Email**
Clinic Address **Provider Phone Number**
 Provider Fax Number

Stratify Genomics Prompt Prostate Genetic Score Test Informed Consent | Last modified October 1, 2018

Introduction This consent form ("Consent") reviews the benefits, risks and limitations of utilizing the Stratify Genomics, Inc. ("we", "us" or "our") Prompt Prostate Genetic Score test ("Prompt test"). It also explains how your information and biological sample will be used after performance of the Prompt test. Throughout this Consent, "you", "your", "me", "my", and "I" refer to the person whose information and sample is being provided for the Prompt test. Capitalized terms used but not defined in this Consent have the meaning given to them in our Terms of Service (located at promptpgs.com) and Privacy Policy (located at promptpgs.com).

Description of the Prompt Test The Prompt test analyzes a panel of single nucleotide polymorphisms (SNPs), small changes in the DNA, to evaluate a man's genetic predisposition to prostate cancer.

Benefits of the Prompt Test Utilizing our Prompt test to discover your genetic risk for prostate cancer can help you gain a better perspective on your health. Your healthcare provider may use this information to help you make more informed health care decisions and choices.

Risks and Limitations of the Prompt Test To utilize our Prompt test, a biological sample must be collected using a cheek swab. There are no risks associated with collecting a sample using this method or the collection materials in our Prompt test kit.

The Prompt test is a genetic screening test that may cause you to discover sensitive information about your health or disease risks. Once you obtain this information, the knowledge is irrevocable. You should not assume that any information we may be able to provide to you will be welcome.

The Prompt test is intended to detect genetic changes at selected SNPs known to be associated with a risk of developing prostate cancer. However, the Prompt test currently available cannot detect all genetic components of prostate cancer risk, as not all causes are known. Furthermore, the Prompt test does not account for the environmental aspects of prostate cancer risk.

The analysis of your Prompt test is based on currently available information. Future scientific research may change the interpretation of your results as the scientific community may show previous information to be incomplete or inaccurate. Stratify Genomics is not obligated to notify you if there is a new understanding of genetic research that might result in a change to the interpretation of your results.

Stratify Genomics has implemented several safeguards to avoid technical errors, but there is a chance that errors in genotyping may lead to an incorrect risk category: below average risk, average risk or above average risk which may impact healthcare practitioner screening efforts. Other sources of error include sample mix-up, poor sample quality or contamination, and technical errors in the laboratory.

Having an above average risk increases your risk of developing prostate cancer. Screening efforts on those men who are at greatest risk better informs patients of their individualized risk. However, this result does not necessarily mean that you have or will develop prostate cancer. Similarly, if you are found to have below average risk, Stratify Genomics does not provide medical services, diagnosis, treatment, or advice. Our Prompt test and Services are not intended to be used for any diagnostic purpose and your results must be considered in the context of broader medical management; you should not make medical decisions without consulting your healthcare provider.

Special Considerations for Genetic Information

Efforts will be made to keep your personal information confidential. We cannot guarantee absolute confidentiality. If privacy or confidentiality is inadvertently broken, there is the risk of misuse. Such misuse could lead to adverse psychological effects or undesired effects on the ability of your or your family members to obtain a job or insurance. All information relating to the samples will be stored in a secured database. In addition, the results obtained from the samples will be stored in a secured database.

The Genetic Information Nondisclosure Act of 2008 (GINA) was enacted to protect against discrimination based on genetic information by employers and health insurance companies in the United States. However, GINA does not protect against discrimination by, for example, life insurance, long-term care insurance, or disability insurance providers. It may be considered fraud if you are asked by one of these kinds of providers whether you have learned genetic information about your health conditions and you do not disclose that you have had this Test.

Genetic information that you share with others could be used against your interests. Genetic information that is shared with your healthcare provider may become part of your medical record and through that route may be accessible to other healthcare providers and/or insurance companies in the future. Sharing genetic information that has limited or no meaning today may also be used against your interests as that

information could have greater meaning in the future as new discoveries are made.

Retention and Use of Your Information

In order for the Prompt test to be performed as intended, you must provide us with accurate and correct information. If you are submitting information on behalf of another person, you represent and warrant that you are authorized to provide such information and that all such information is accurate and correct.

We are subject to multiple laws regarding the retention of data. Accordingly, we will retain any information collected for as long as we are required to maintain it under applicable laws and regulations, for regulatory and compliance purposes, for legal or business necessity, or where we otherwise reasonably believe that we have a legitimate reason to do so.

Your biological sample will be sent directly to our laboratory partner to conduct the Prompt test. Stratify Genomics has no obligations or liability regarding the retention of your sample. However, our laboratory partner may establish sample retention policies and maintain certain information to comply with applicable legal, regulatory and accreditation requirements.

Withdrawal of Consent

Your use of our Prompt test is voluntary. You may choose to withdraw your Consent or to stop using our Services at any time. You do not need to inform Stratify Genomics when you stop using our Services unless you are requesting closure of your Account. Such requests should be sent to us using the contact information below.

Contacting Stratify Genomics If you have questions or comments about our Prompt test or this Consent, please contact us at: Stratify Genomics Inc., #891 3525 Del Mar Heights Rd. San Diego CA 92130/ 888.571.8810/ support@promptpgs.com

Legal Agreement and Consent I agree that I have chosen to use the Prompt test and give my informed consent to have my biological sample Prompt tested. I give my permission for my information and results to be disclosed in accordance with this Consent, Terms of Service and the Privacy Policy. I understand that this Consent is a legally binding agreement and acknowledge that I have read, understood, and agree to the terms of this Consent.

I acknowledge that I am the individual whose sample will be Prompt tested and that I am a United States resident of at least 18 years of age, or that I have legal authority to consent on behalf of another United States resident of at least 18 years of age whose sample will be Prompt tested.

Optional Consent | Participating in Third Party Research and Inclusion in Stratify Genomics' Research Database We may de-identify, aggregate, store, and use your information for educational, internal quality control, validation studies, and/or research and development purposes. De-identification means that the Personal Information associated with your genetic information will be removed.

Information, and results in our research may be shared with third party collaborators including government, academic, and commercial entities. Stratify Genomics may receive financial compensation to conduct such research. You understand that by providing a sample, having your sample processed, or providing information to Stratify Genomics, you acquire no rights in any research or commercial products that may be developed by us or our collaborating partners. You will not receive compensation for any research or commercial products that include or result from your sample or information.

We may author publications using de-identified information, either on our own or in collaboration with third parties. We may disclose, sell and otherwise commercialize de-identified information without restriction.

You may also consent to the inclusion of your information in our research database to support further research in genetics. If you consent, we will de-identify your information and make it accessible and searchable in the database by researchers for an indefinite period of time. Participation in the database involves the possible risk that your information might become known to individuals outside of Stratify Genomics, or that you may be identifiable from information in the database. We will use commercially reasonable efforts to protect your identity and preserve the confidentiality of your information.

Withdrawal of Consent Your use of our Prompt test is voluntary. You may choose to withdraw your Consent or to stop using our Services at any time. You do not need to inform Stratify Genomics when you stop using our Services unless you are requesting closure of your Account. Such requests should be sent to us using the contact information below.

You can opt out of third-party research and/or our research database by contacting us directly. However, if you have consented in the past and later request to opt out, we cannot exclude your de-identified information from research performed with your prior permission. In addition, we may not be able to recall de-identified data that has already been released to the database or third parties. Upon such a request, we will cease to share your information going forward and will remove your information from the database.